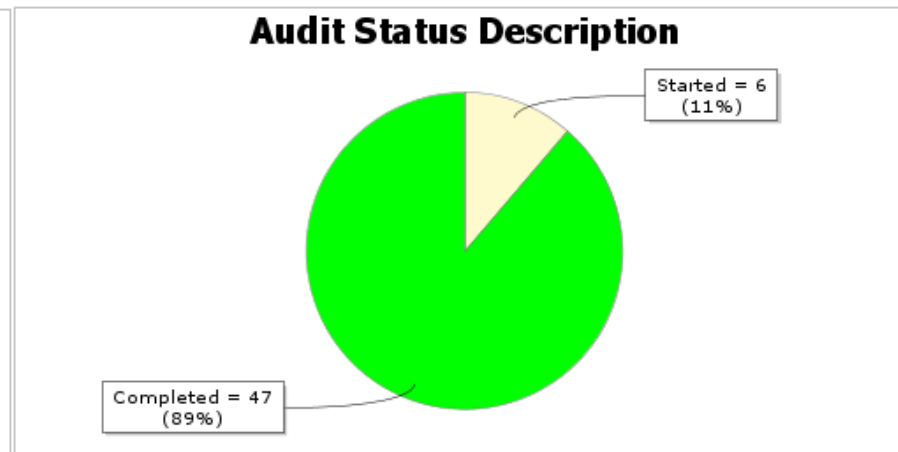
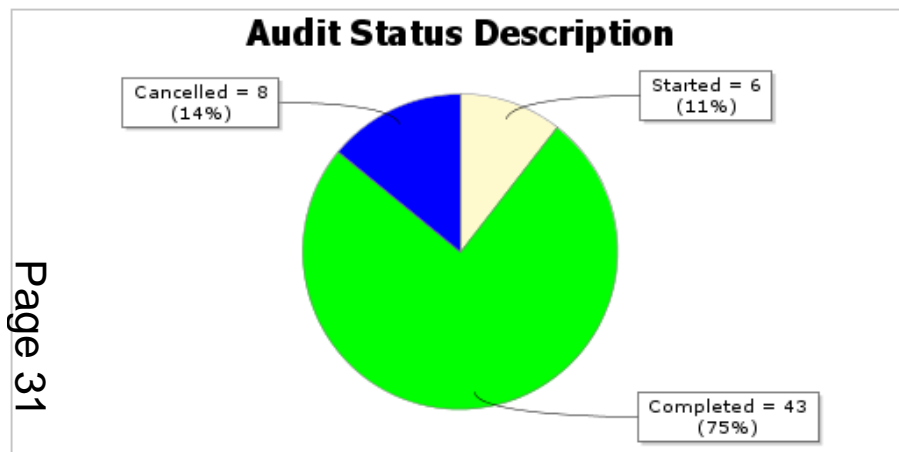


Internal Audit Performance Report 2015/16 Quarter 4

Report Type: Audit File Report
Report Author: Angela Struthers
Generated on: 22 April 2016

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| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title |
|---------------------------------------|-------------------------|-------------------|--------------------------|---------------------------------|
| Treasury Management Qtr3 2015/16 | Finance | ✓ | Completed | Main financial system – interim |
| Council Tax | Finance | ✓ | Completed | Main financial system – interim |
| NNDR | Finance | ✓ | Completed | Main financial system – interim |
| Bank Reconciliation & Cash Collection | Finance | ✓ | Completed | Main financial system – interim |
| Housing Rents | Housing & Health | ✓ | Completed | Main financial system – interim |
| Debtors | Finance | ✓ | Completed | Main financial system – interim |

| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title |
|---|--|-------------------|--------------------------|---------------------------------|
| Main Accounting & Budgetary Control | Finance | ✓ | Completed | Main financial system – interim |
| Capital Strategy & Programme Management | Finance | ✓ | Completed | Main financial system – interim |
| Housing Anti-Social Behaviour | Housing & Health | ✓ | Completed | Risk based review |
| Creditors & Procurement | Finance | ✓ | Completed | Main financial system – interim |
| Housing & Council Tax Benefits | Finance | ✓ | Completed | Main financial system – interim |
| Payroll | Transformation & Corporate Performance | ✓ | Completed | Main financial system – interim |
| Housing Repairs QTR 2 | Housing & Health | ✓ | Completed | Main financial system – interim |
| Housing Repairs QTR 4 | Housing & Health | ✓ | Completed | Main financial system – interim |
| Property Contracts QTR 2 | Assets & Environment | ✓ | Completed | Main financial system – interim |
| Property Contracts QTR 3 | Assets & Environment | ✓ | Completed | Main financial system – interim |
| Municipal Charities | Corporate | ✓ | Completed | Transactional |
| I Trent | Technology & Corporate Programmes | ✓ | Completed | Information Technology |
| Pension Contributions | Transformation & Corporate Performance | ✓ | Completed | Compliance |
| Housing Repairs QTR 1 | Housing & Health | ✓ | Completed | Main financial system – interim |
| Housing Repairs QTR 3 | Housing & Health | ✓ | Completed | Main financial system – interim |
| Property Contracts QTR 1 | Assets & Environment | ✓ | Completed | Main financial system – interim |
| Property Contracts QTR 4 | Assets & Environment | ✓ | Completed | Main financial system – interim |
| Treasury Management Qtr4 2014/15 | Finance | ✓ | Completed | Main financial system – interim |

| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title |
|---|--|-------------------|--------------------------|---------------------------------|
| Treasury Management Qtr1 2015/16 | Finance | ✓ | Completed | Main financial system – interim |
| Treasury Management Qtr2 2015/16 | Finance | ✓ | Completed | Main financial system – interim |
| Transparency Code | Corporate | ✓ | Completed | Compliance |
| Safeguarding Children & Vulnerable Adults | Solicitor & Monitoring Officer | ✓ | Completed | System based review |
| Assembly Rooms Bar | Communities, Planning & Partnerships | ✓ | Completed | System based review |
| Housing Voids & Lettings | Housing & Health | ✓ | Completed | System based review |
| Performance Framework | Transformation & Corporate Performance | ✓ | Started | Consultancy |
| Alternative Delivery Models | Corporate | ✓ | Started | System based review |
| Directoral Process | Solicitor & Monitoring Officer | ✓ | Completed | System based review |
| Asbestos & Legionella | Assets & Environment | ✓ | Completed | Risk based review |
| Recruitment Process | Transformation & Corporate Performance | ✓ | Completed | System based review |
| Planning Enforcement | Communities, Planning & Partnerships | ✓ | Completed | Risk based review |
| Transformation process/ Corporate Change | Corporate | ✓ | Completed | Consultancy |
| Review of Culture/ Ethics | Corporate | ✓ | Started | Consultancy |
| RIPA | Solicitor & Monitoring Officer | ✓ | Completed | Compliance |
| Corporate Complaints/ Service Feedback | Transformation & Corporate Performance | ✓ | Started | System based review |

| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title |
|---|--|-------------------|--------------------------|----------------------------|
| Car Parking | Assets & Environment | ✓ | Started | Risk based review |
| Taxi/PHV Licences | Assets & Environment | ✓ | Started | System based review |
| Private Sector Housing Leasing Scheme | Housing & Health | ✓ | Completed | System based review |
| IT Disaster Recovery | Technology & Corporate Programmes | ✓ | Completed | Information Technology |
| Organisational Development | Transformation & Corporate Performance | ✓ | Completed | Risk based review |
| Homelessness | Housing & Health | ✓ | Completed | Risk based review |
| Commercial & Industrial Properties | Assets & Environment | ✓ | Completed | Consultancy |
| Electoral Registration/Canvassing Process | Solicitor & Monitoring Officer | ✓ | Completed | System based review |
| MS Application Review | Technology & Corporate Programmes | ✓ | Completed | Information Technology |
| Pension Contributions End of Year | Transformation & Corporate Performance | ✓ | Completed | Compliance |
| Assembly Rooms Bar | Communities, Planning & Partnerships | ✓ | Completed | System based review |
| Commercial & Industrial Properties | Assets & Environment | ✓ | Completed | Additional Consultancy |
| Election Project | Solicitor & Monitoring Officer | ✓ | Completed | Consultancy |